### Scott A. Morris (CRD # 5651460)

## Alexander Capital Wealth Management LLC ("ACWM")

Firm CRD# 157714

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# FORM ADV PART 2B BROCHURE SUPPLEMENT

This brochure supplement provides information about Scott A. Morris that supplements the Alexander Capital Wealth Management, LLC brochure, which has been provided for your review. Should you have any questions about this supplement, or if you have not received the Form ADV Part 2A, please contact the firm immediately. The information in this brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Please note, where this brochure supplement may use the terms "registered investment adviser" and/or "registered", registration itself does not imply a certain level of skill or training.

Additional information about the firm and its personnel is also available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>

## Item 2 Educational Background and Business Experience

#### **Scott A. Morris**

Year of Birth: 1977

Formal Education After High School:

- Moberly Area Community College: A.S. Chemistry, 2004
- University of Missouri Columbia: Chemistry Attended (01/15/2005-08/13/2005)
- Central Methodist University: B.S. Physics 2006
- University of Missouri St. Louis: Med, Curriculum and Instruction Attended (08/15/2008-12/10/2008)
- Colorado Technical University: MBA Finance Attended (01/07/2009-05/10/2009)

#### Business Background:

- Alexander Capital Wealth Management LLC, Investment Adviser Representative, 08/2021-Present
- · Alexander Capital, L.P., Registered Representative, 02/2021 Present
- International Assets Advisory, LLC, Registered Representative, 10/2015 2/2021
- International Assets Investment Management, LLC, Investment Adviser Representative, 10/2015 - 2/2021
- Edward Jones, Registered Representative, 2/2010 9/2015

## **Item 3 Disciplinary Information**

Further information regarding both the firm and its personnel may be found by visiting the SEC's Investment Adviser Public Disclosure website <a href="www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> or FINRA's broker check website

www.brokercheck.finra.org.

#### **Item 4 Other Business Activities**

Scott A. Morris is a Registered Representative with Alexander Capital L.P. Alexander Capital L.P. is a diversified financial services company engaged in the sale of specialized investment products. In this capacity, Mr. Morris may recommend securities or products offered by Alexander Capital L.P. as part of your investment portfolio. If you purchase these products through Mr. Morris, he may receive the customary commissions in his separate capacity as a Registered Representative of Alexander Capital L.P.

Additionally, Mr. Morris could be eligible to receive incentive awards such as ones Alexander Capital L.P. may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Morris an incentive to recommend investment products based on the compensation received, rather than on your investment needs.

Scott A. Morris is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Morris for insurance related activities. This presents a conflict of interest because Mr. Morris may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

## **Item 5 Additional Compensation**

Refer to the *Other Business Activities* section above for disclosures on Mr. Morris's receipt of additional compensation as a result of his other business activities.

Also, refer to the Fees and Compensation, Client Referrals and Other Compensation, and Other Financial Industry Activities and Affiliations section(s) of Alexander Capital Wealth Management LLC's firm brochure for additional disclosures on this topic.

## **Item 6 Supervision**

Ms. Michele Misiti, Chief Compliance Officer remains responsible for the supervision of each employee of the firm. This supervision extends to reviewing their business practices and monitoring the advice given to clients. Questions related to the activities of any employee may be directed to Ms. Misiti at the phone number listed on the cover of this brochure supplement.